



IPW

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/815,371
		Filing Date	March 31, 2004
		First Named Inventor	Brant L. Candelore
		Art Unit	2131
		Examiner Name	Not Assigned
Total Number of Pages in This Submission	12	Attorney Docket Number	80398P252X2

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">38 Prior art references</div>
Remarks		
Prior art references not included in total page count.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	December 29, 2004

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Typed or printed name	Susan McFarlane		
Signature		Date	December 29, 2004



FEETRANSMITTAL

10F41 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete if Known

Application Number	10/815,371
Filing Date	March 31, 2004
First Named Inventor	Brant L. Candelore
Examiner Name	Not Assigned
Art Unit	2131
Attorney Docket No.	80398P252X2

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below

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☒ Charge any additional fee(s) or underpayment of fee(s)

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under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity

Small Entity

Fee Code (\$)

Fee Code

Fee (\$)

Fee Description

Fee Paid

1051 130

2051

65

Surcharge - late filing fee or oath

1052 50

2052

25

Surcharge - late provisional filing fee or cover sheet.

2053 130

2053

130

Non-English specification

1251 120

2251

60

Extension for reply within first month

1252 450

2252

225

Extension for reply within second month

1253 1,020

2253

510

Extension for reply within third month

1254 1,590

2254

795

Extension for reply within fourth month

1255 2,160

2255

1,080

Extension for reply within fifth month

1401 500

2401

250

Notice of Appeal

1402 500

2402

250

Filing a brief in support of an appeal

1403 1,000

2403

500

Request for oral hearing

1451 1,510

2451

1,510

Petition to institute a public use proceeding

1460 130

2460

130

Petitions to the Commissioner

1807 50

1807

50

Processing fee under 37 CFR 1.17(q)

1806 180

1806

180

Submission of Information Disclosure Stmt

1809 790

1809

395

Filing a submission after final rejection (37 CFR § 1.129(a))

1810 790

2810

395

For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify)

SUBTOTAL (2)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

William W. Schaal

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(Attorney/Agent)

39,018

Telephone

(714) 557-3800

Signature

Date

12/29/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRANT L. CANDELORE

Application No.: 10/815,371

Filed: March 31, 2004

For: **IP Delivery of Secure Digital Content**



Art Group: 2131

Examiner: Not Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

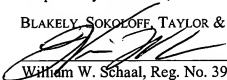
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: December 29, 2004


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Susan McFarlane

12-29-04

Date

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary.)

Complete if Known

Application Number	10/815,371
Filing Date	March 31, 2004
First Named Inventor	Candelore, Brant
Group Art Unit	2131
Examiner Name	Unknown

Sheet 1 of 7

Attorney Docket No: 80398P252X2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
/T.D./	US-2002/0046406	04/18/2002	Chelehmal, Majid , et al.	725	87	04/10/2001
	US-2002/0108035	08/08/2002	Herley, Cormac , et al.	713	165	02/06/2001
	US-2002/0129243	09/12/2002	Nanjundiah, Viswanath	713	160	03/08/2001
	US-2002/0194613	12/19/2002	Unger, Robert A.	725	118	02/27/2002
	US-2002/0196939	12/26/2002	Unger, Robert A., et al.	380	216	01/02/2002
	US-20020059425	05/16/2002	Belfiore, Joseph , et al.	709	226	06/22/2001
	US-2003/0026423	02/06/2003	Unger, Robert U., et al.	380	217	01/02/2002
	US-2003/0046686	03/06/2003	Candelore, Brant L., et al.	725	31	01/02/2002
	US-2003/0063615	04/03/2003	Iuoma, Juha-Pekka , et al.	370	401	04/05/2002
	US-2003/0081630	05/01/2003	Mowery, Keith R., et al.	370	466	10/08/2002
	US-2003/0081776	05/01/2003	Candelore, Brant L.	380	200	01/02/2002
	US-2003/0123664	07/03/2003	Pedlow, Jr., Leo M., et al.	380	218	10/18/2002
	US-2003/0123849	07/03/2003	Nallur, Ramesh , et al.	386	68	12/31/2001
	US-2003/0133570	07/17/2003	Candelore, Brant L., et al.	380	210	10/18/2002
	US-2003/0145329	07/31/2003	Candelore, Brant L.	725	87	12/13/2002
	US-2003/0152224	08/14/2003	Candelore, Brant L., et al.	380	210	10/18/2002
	US-2003/0152226	08/14/2003	Candelore, Brant L., et al.	380	218	10/18/2002
	US-2003/0156718	08/21/2003	Candelore, Brant L., et al.	380	211	11/25/2002
	US-2003/0159139	08/21/2003	Candleor, Brant L., et al.	725	25	10/18/2002
↓	US-2003/0159140	08/21/2003	Candelore, Brant L.	725	31	12/13/2002

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Substitute Disclosure Statement Form (PTO-1449)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete # Known

Application Number	10/815,371
Filing Date	March 31, 2004
First Named Inventor	Candelore, Brant
Group Art Unit	2131
Examiner Name	Unknown

Sheet 2 of 7

Attorney Docket No: 80398P252X2

/T.D./	US-2003/0159152	08/21/2003	Lin, Shu , et al.	725	87	04/11/2002
	US-2003/0174837	09/18/2003	Candelore, Brant L., et al.	380	210	12/13/2002
	US-2003/0198223	10/23/2003	Mack, Robert E., et al.	370	392	07/12/2002
	US-2003/0226149	12/04/2003	Chun, Kyong-Joon , et al.	725	78	11/15/2002
	US-2003/0021412	01/30/2003	Candelore, Brant L., et al.	380	217	01/30/2003
	US-2004/0003008	01/01/2004	Wasilewski, Anthony J., et al.	707	200	06/25/2003
	US-2004/0047470	03/11/2004	Candelore, Brant L.	380	240	10/18/2002
	US-2004/0049688	03/11/2004	Candelore, Brant L., et al.	713	191	11/13/2002
	US-2004/0049690	03/11/2004	Candelore, Brant L., et al.	713	193	12/13/2002
	US-2004/0049691	03/11/2004	Candelore, Brant L., et al.	713	193	03/19/2003
	US-2004/0049694	03/11/2004	Candelore, Brant L.	713	200	12/13/2002
	US-2004/0078575	04/22/2004	Morten, Glenn A., et al.	713	176	01/29/2003
	US-2004/0165586	08/26/2004	Read, Christopher J., et al.	370	389	02/24/2003
	US-2004/0187161	09/23/2004	Cao, Adream T.	725	110	03/20/2003
	US-3,852,519	12/03/1974	Court, Patrick R.	178	5.1	10/20/1972
	US-4,739,510	04/19/1988	Jeffers, John M., et al.	380	15	04/02/1987
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	US-4,944,006	07/24/1990	Citta, Richard W., et al.	380	20	04/25/1989
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	US-5,018,197	05/21/1991	Jones, Gary A., et al.	380	20	07/30/1990
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✓	US-5,420,866	05/30/1995	Wasilewski, Anthony J., et al.	370	110.1	05/30/1995

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)**Complete if Known**

Application Number	10/815,371
Filing Date	March 31, 2004
First Named Inventor	Candelore, Brant
Group Art Unit	2131
Examiner Name	Unknown

Sheet 3 of 7

Attorney Docket No: 80398P252X2

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	US-5,717,814	02/10/1998	Abecassis, Max	386	46	09/16/1994
	US-5,742,680	04/21/1998	Jerrell, W.	380	16	04/21/1998
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	US-5,751,280	05/12/1998	Abbott, Michael J., et al.	345	302	12/11/1995
	US-5,751,813	05/12/1998	Dorenbos, David	380	49	04/29/1996
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	US-5,818,934	10/06/1998	Cuccia, David W.			12/18/1996
	US-5,825,879	10/20/1998	Davis, Derek L.			09/30/1996
	US-5,894,320	04/13/1999	Vancelette, Paul D.	348	7	05/29/1996
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	US-5,999,622	12/07/1999	Yasukawa, Hiroshi, et al.	380	4	11/22/1995
✓	US-5,999,698	12/07/1999	Nakai, Masatoshi, et al.	386	125	09/30/1997

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Substitute Disclosure Statement Form (PTO-1449)

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/815,371
Filing Date	March 31, 2004
First Named Inventor	Candelore, Brant
Group Art Unit	2131
Examiner Name	Unknown

Sheet 4 of 7

Attorney Docket No: 80398P252X2

/T.D./	US-6,005,561	12/21/1999	Hawkins, William , et al.	345	327	12/14/1994
	US-6,011,849	01/04/2000	Orrin, Steven M.	380	42	08/28/1997
	US-6,012,144	01/04/2000	Pickett, Thomas E.	713	201	10/01/1997
	US-6,021,199	02/01/2000	Isibashi, Yasuhiro	380	10	10/17/1997
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	US-6,057,872	05/02/2000	Candelore, Brant	348	3	07/09/1997
	US-6,058,186	05/02/2000	Enari, Masahiko	380	10	09/29/1998
	US-6,064,748	05/16/2000	Hogan, Josh	382	100	01/16/1998
	US-6,065,050	05/16/2000	DeMoney, Michael A.	709	219	06/05/1996
	US-6,072,873	06/06/2000	Bewick, Simon	380	217	03/03/1998
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	US-6,240,553	05/29/2001	Son, Yong H., et al.	725	95	12/10/1999
	US-6,247,127	06/12/2001	Vandergest, Ron J.	713	100	12/19/1997
	US-6,292,568	09/18/2001	Akins, Glendon L., et al.	380	239	01/19/2000
	US-6,307,939	10/23/2001	Jean-Pierre, V.	380	210	02/19/1999
	US-6,311,012	10/30/2001	Cho, Jae-Ryong , et al.	386	98	06/20/1997
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	US-6,430,361	08/06/2002	Lee, Hee-Soo	386	98	11/25/1997
	US-6,442,689	08/27/2002	Kocher, Paul C.			07/28/1998
	US-6,449,718	09/10/2002	Rucklidge, William J., et al.	713	168	08/09/1999
	US-6,459,427	10/01/2002	Mao, Weidong , et al.	345	327	04/01/1998
	US-6,463,152	10/08/2002	Takahashi, Masayuki	380	201	02/25/1999
	US-6,505,032	01/07/2003	McCorkle, John W., et al.	455	41	10/10/2000
	US-6,510,554	01/21/2003	Gordon, Donald F., et al.	725	90	04/27/1998
	US-6,519,693	02/11/2003	Debey, Henry C.			07/21/1997

EXAMINER

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DATE CONSIDERED

04/21/2008

Substitute Disclosure Statement Form (PTO-1449)

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PTO/SB08A(10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/815,371
Filing Date	March 31, 2004
First Named Inventor	Candelore, Brant
Group Art Unit	2131
Examiner Name	Unknown

Sheet 5 of 7

Attorney Docket No: 80398P252X2

/T.D./	US-6,529,526	03/04/2003	Schneidewend, Daniel Richard			11/12/1998
↓	US-6,543,053	04/01/2003	Li, Victor O., et al.	725	88	11/20/1997
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	US-6,640,145	10/28/2003	Hoffberg, Steven, et al.	700	83	06/03/2002
↓	US-6,678,740	01/13/2004	Rakib, Selim S., et al.	09	247	06/23/2000
	US-6,681,326	01/20/2004	Son, Yong H., et al.	713	150	05/07/2001

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
/T.D./	CA-CA2328645		Liu, Leo Y., et al.			
↓	EP-EP1187483	03/13/2002	Tehranchi, Babak			
	WO-WO 01 11819	02/15/2001	Epstein, Michael A.			
	WO-WO00/31964	06/02/2000	Jandel, Magnus, et al.			
↓	WO-WO0051039	08/31/2000	Robert, John			
	WO-WO01/78386	10/18/2001	Andrew, Augustine			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), published, city and/or country where published.	T ²
/T.D./		"Ad Agencies and Advertisers To Be Empowered with Targeted Ad Delivered by Television's Prevailing Video Servers", <u>Article Business Section of The New York Times</u> , (12/20/2001),	
/T.D./		"ClearPlay: The Technology of Choice", <u>from web site, http://www.clearplay.com/what.asp, ClearPlay 2001-2003</u> ,	
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/T.D./		"Message Authentication with Partial Encryption", <u>Research disclosure RD 296086</u> , (12/10/1988),	
		"Metro Media PVR-DVD-MP3-Web", <u>Internet publication from www.metrolink.com</u> , (undated),	
/T.D./		"New Digital Copy protection Proposal Would Secure Authorized Copies", <u>PR Newswire</u> , (11/13/1998),1-3	
/T.D./		"Passage Freedom to Choose", <u>Sony Electronics Inc.</u> , (2003),	
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Application Number	10/815,371
Filing Date	March 31, 2004
First Named Inventor	Candelore, Brant
Group Art Unit	2131
Examiner Name	Unknown

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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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